

Explicit / effective birational geometry

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Effective / explicit / constructive maths:

- algorithmic
- classification
- computational maths
- effective results are more difficult to prove

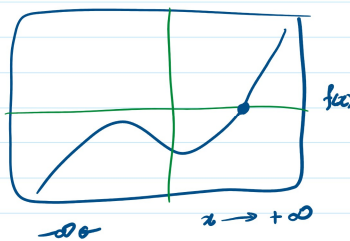
Exa: $f \in \mathbb{R}[t]$ of deg 3, monic

\ni roots of f

as $f(x) \rightarrow \pm\infty$ when $x \rightarrow \pm\infty$

• effective version: $f = x^3 + ax^2 + bx + c$

can write down the roots in terms of a, b, c



Exa: Hilbert Nullstellensatz

• $f_1, \dots, f_r \in \mathbb{C}[t_1, \dots, t_n]$

$V(f_1, \dots, f_r) = \text{set of common solutions of } f_i = 0 \Rightarrow \exists g_i \in \mathbb{C}[t_1, \dots, t_n]$

• $T_1 \rightarrow \dots \rightarrow T_r \in \mathbb{C}[x_1, \dots, x_n]$

$$V(f_1, \dots, f_r) = \text{set of common solutions of } f_i = 0 \Rightarrow \exists g_i \in \mathbb{C}[x_1, \dots, x_n]$$

$$\text{s.t. } f_1 g_1 + \dots + f_r g_r = 1.$$

• effective: if $\deg f_i \leq d \Rightarrow \exists g_i$ of $\deg \leq \max\{3, d\}^{\min\{r, n\}}$

Exa: resolution of singularities (char = 0)

• X variety, \exists resolution $g: W \rightarrow X$ $\left\{ \begin{array}{l} g \text{ birational, proj morphism} \\ W \text{ smooth} \end{array} \right.$

• effective: X proj, $X \subseteq \mathbb{P}^n$ given by F_1, \dots, F_r of $\deg \leq d$

\exists resolution $g: W \rightarrow X$ s.t.

$W \subseteq \mathbb{P}^m$ given by G_1, \dots, G_s of $\deg \leq e$.

m, e can be computed in terms of n, d .

[Bierstone-Milman, et al.]

Exa: minimal model program

• surfaces: X smooth proj surface

$$X = X_1 \rightarrow X_2 \rightarrow \dots \rightarrow X_n = Y \quad (\text{MMP})$$

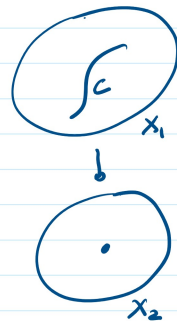
If $\exists C \subseteq X_1$ with $C \cong \mathbb{P}^1$ & $C^2 = -1$, then $X_1 \rightarrow X_2$ contraction of C

If $\dots \subseteq X_2 \dots$

$\left\{ \begin{array}{l} K_Y \text{ is nef: } K_Y \cdot D \geq 0 \text{ } \forall \text{ curve } D \subseteq Y, \text{ or} \\ \exists \text{ Fano fib } Y \rightarrow T \end{array} \right.$

\exists Fano fib $Y \rightarrow T$

$$\left\{ \begin{array}{l} Y = \mathbb{P}^2 \rightarrow T = \text{pt} \\ Y \rightarrow T \text{ } \mathbb{P}^1\text{-bundle over curve } T \end{array} \right.$$



• effective: $P(X) \leq d \Rightarrow$ # steps in MMP is at most d .

• $X \subseteq \mathbb{P}^n$ given by F_1, \dots, F_r of $\deg \leq d \Rightarrow Y \subseteq \mathbb{P}^m$ given by G_1, \dots, G_s of $\deg \leq e$.

(n, d fixed $\Rightarrow m, e$ can be computed in terms of n, d).

• higher dimension? e.g. $\dim = 3$.

Exa: Fujita conjecture: X smooth proj var of dim d , A ample divisor on X .

- $K_X + mA$ globally generated, very ample. $m \gg 0$
- Conj: $K_X + mA$ is globally gen when $m \geq d+1$
very ample $\dots m \geq d+2$.

Exa: General type varieties

X smooth proj var of general type: Kodaira dim $\kappa(X) = \dim X = d$.

• $|mK_X|$ def bir map, $\forall m \gg 0$.

• $d=1$: K_X may or may not be very ample

$\exists K_X$ is very ample, $|3K_X|$ defines a bir map $X \dashrightarrow \mathbb{P}^n$

• $d=2$: $|5K_X|$ def a bir map: $H^0(X, 5K_X)$, choose basis h_0, \dots, h_n

$X \dashrightarrow \mathbb{P}^n$ given by h_0, \dots, h_n .

• $d=3$: $|73K_X|$ def a bir map. [J.A. Chen, M. Chen]

• for $d \geq 4$: $\exists m$ depend. on d s.t. $|mK_X|$ def a bir map. [Hacon-McKernan, Takayama] Tsuji
Conj: we can find effective m (in terms of d).

• Conj: \exists effective $\alpha > 0$ (in terms of d) s.t. $\text{vol}(K_X) \geq \alpha$.

(for $d=1$, $\text{vol} = \deg$)

(when K_X is ample, $\text{vol}(K_X) = K_X^d$)

Exa: Fano varieties

• X smooth Fano var of dim d , \exists effective m s.t. $|mK_X|$ very ample.

[Fano, Kollar-Miyaoka-Mori]

• X Fano var of dim $= d$ with ϵ -lc singularities, $\epsilon > 0$.

$\exists m$ depend. on d, ϵ s.t. $|mK_X|$ def a bir map $X \dashrightarrow Y \subseteq \mathbb{P}^n$
(even embedding)

[Birkaer]

• Conj: \exists effective m, n (in terms of d, ϵ). (Known $d=2$).

- X Fano var, Klt singularities.
 $\exists m$ depend. on d s.t. $H^0(X, -mK_X) \neq 0$. [BirKar]
- Conj: \exists effective m (in terms of d).
 $(d=3$ partially known)
[BirKar - J. Liu]

Exa: Calabi-Yau varieties

- X smooth Calabi-Yau var, A ample div. $(K_X \equiv 0)$
 \exists effective m s.t. $|mA|$ def bir map.
- X Calabi-Yau with Klt singularities, A ample div. [BirKar]
 $\exists m$ depend. on d s.t. $|mA|$ def bir map.
- Conj: \exists effective m (in terms of d).
 $(d=3$ partially known)
[C. Jiang]
- Conj (Yau):
 $\left. \begin{array}{l} \text{smooth proj Calabi-Yau var } X \text{ of dim} = d \\ \text{is topologically bounded.} \end{array} \right\}$
- Effective version? Effective bound on Betti numbers?

Exa: Fano fibrations

- $X \rightarrow Z$ Fano fib $(-K_X \text{ ample } |Z), X \text{ } \epsilon\text{-lc singularities, } \epsilon > 0,$
 $\dim X/Z = d.$
- $\exists \delta > 0$ depend. on d, ϵ s.t. Z is δ -lc. [BirKar]
 - Conj: \exists effective δ (in terms of d, ϵ).
 $[d=1, B. Chan]$
 $[d=2, \text{partially known, Mori-Prokhorov}]$

Exa: Moduli divisors

- $X \xrightarrow{f} Z, X$ Klt singularities, $K_X \simeq f^*L$. (CY fib)
- Canonical bundle formula:

$$K_X \simeq f^*(K_Z + B_Z + M_Z)$$

$$K_X \sim_{\mathbb{Q}} f^*(K_Z + B_Z + M_Z)$$

\downarrow disc. div \searrow moduli div

• Conj (Prokhorov-Shokurov)

• \exists bir model $Z' \rightarrow Z$ s.t. $M_{Z'}$ is semi-ample,

• moreover, \exists effective m (in terms of $\dim X/Z$) s.t.

$|mM_{Z'}|$ is base point free.

Exa: Group actions

G finite group, abelian index $A(G) = \min \{ [G:L] \mid L \trianglelefteq G \text{ normal abelian} \}$

• G acts on rationally-connected proj var of dim d

$\Rightarrow \exists \mathcal{J}$ depd. on d s.t. $A(G) \leq \mathcal{J}$. [Prokhorov-Shramov, Birkar]

• Conj: \exists effective \mathcal{J} (in terms of d).

[$d=3$, Prokhorov-Shramov]

• G acts on a non-uniruled proj var X

$\Rightarrow \exists \mathcal{J}$ depd. on X s.t. $A(G) \leq \mathcal{J}$. [Prokhorov-Shramov]

• Conj: \exists effective \mathcal{J} (in terms of X)

Rem: With advances in recent years we can approach some of these problems.

Thm (Birkar-Liu). Assume X is a non-exceptional Fano 3-fold with K_X singularities.

Then $\exists m$ -complement for some

$$m \leq 192 \times (42)! \times 84^{128 \times 42^5}.$$

In particular,

$$H^0(X, -mK_X) \neq 0.$$

Proof sketch:

since X is exceptional, using MMP, can replace X and assume

proof sketch:

since X is exceptional, using MMP, can replace X and assume

(X, S) is dlt & $-(K_X + S)$ is semi-ample. (for simplicity, say plt)

case: $\kappa(-(K_X + S)) = 3$.

Using vanishing of cohomology, can lift a complement from S and reduce to $\dim = 2$.

case: $\kappa(-(K_X + S)) = 2$:

Here $-(K_X + S)$ induces a fibration $X \rightarrow Z$, $\dim Z = 2$.

For any horizontal comp $T \subseteq S$, $\text{stein deg}(T/Z) \leq 2$.

Construct a complement on T , descend to Z and pullback to X .

case: $\kappa(-(K_X + S)) = 1$:

Here $-(K_X + S)$ induces a fibration $X \xrightarrow{f} Z$, $\dim Z = 1$.

write the canonical bundle formula

$$K_X + S \sim_{\mathbb{Q}} f^*(K_Z + B_Z + M_Z).$$

But we need to control the coefficients of B_Z, M_Z .

And then construct a complement for $K_Z + B_Z + M_Z$ and pull back to X .

case: $\kappa(-(K_X + S)) = 0$:

Using MMP and above arguments, one reduces to the case $\rho(X) = 1$.

Here using vanishing thms, we can lift a complement from S .