

# On the rationality of Fano 3-folds over non-closed fields

(based on a joint work with A. Kuznetsov)

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Fano = smooth Fano

3-fold over  
 $k \neq \mathbb{R}$ , char  $k = 0$ .

Invariants  $X$  Fano

$\rho(X)$  Picard number

$$\text{Pic}(X) = \mathbb{Z}^{\rho}$$

$\rho(\bar{X})$

$$\bar{X} = X \otimes k.$$

$$\text{Pic}(X) \subset \text{Pic}(\bar{X})^G \subset \text{Pic}(\bar{X})$$

$$G = \text{Gal}$$

If  $X(k) = \emptyset \Rightarrow$  " = "

$\rightarrow K_X$  index (Fano)

$$i(X) = \max \{ i \mid -K_{\bar{X}} = iH \}$$

$$-K_X = i + 1 \leftarrow$$

Degree  $d(X) = H^3 \quad i(X) \geq 1$

Genus  $g(X) = \frac{1}{2}(-K_X)^3 + 1$   
 $i(X) = 1.$

Fact  $X$  Fano 3-fold

$$\Rightarrow i(X) \leq 4$$

$$i(X) = 4 \quad X = \mathbb{P}^3$$

$$i(X) = 3 \quad \Rightarrow \quad X = \mathbb{Q} \subset \mathbb{P}^4$$

$i(X) = 1$  or  $2$  (our assumption)

$$i(X) = 2 \stackrel{\text{def}}{\Rightarrow} \text{del Pezzo}$$

3-fold.

$$i(X) = 1 \stackrel{\text{def}}{\Rightarrow} \text{prime Fano}$$

$$k = \mathbb{C}$$

$$p(X) = 1.$$

15 irr-ed. families Fano's.

del Pezzo case  $\Rightarrow d(X) = 1, \dots, 5$

$i(X) = 1$   $\Rightarrow g = 2, \dots, 10, 12.$

prime

Fano 3-folds

## Rationality

$d(X) = 1, \dots, 3$   $\Rightarrow$   $X$  not rational  
del Pezzo

$g(X) = 2, \dots, 6, 8, \Rightarrow$   $X$  not rational

$d(X) = 4, 5$  *only these Fano's are interesting for consideration*

$g(X) = 7, 9, 10, 12$   $X$  rational.

Remark  $g(X) = 6$  and  $4$  known general member of family not rational.

$$\mathbb{R} \neq \overline{\mathbb{R}}$$

$$\rho(\overline{X}) = 1.$$

$d=5$   
du/Rezzo  $\Rightarrow X$  rational.

$g=7, 12$   
prime  $\Rightarrow X$  rational

$$X(\mathbb{R}) \neq \emptyset$$

Theorem

$g=10$   
also Tschinkel & Hassett

$X$  rational

$\Leftrightarrow$

$$X(\mathbb{R}) \neq \emptyset$$

$\&$

$$F_2(X)(\mathbb{R}) \neq \emptyset$$

family of

Remark

$$F_2(\overline{X})$$

abelian surface.

$$g=9$$

$X$  rational

$\Leftrightarrow$

$$F_3(X)(\mathbb{R}) \neq \emptyset$$

family of  $p_g=0$

curves of degree=3

Remark

$$F_3(\overline{X})$$

abelian  
3 fold.

$$\underline{\underline{d=4}}$$

Benoist & Wittenberg  
Tschinkel Hassett.

$X$   $X$  rat  $\Leftrightarrow H_1(X, \mathbb{Z}) = 0$   
 $\exists$  line over  $k$ .

$\Rightarrow$  based on techniques of - Benoist - Wittenberg -

Fano 3-folds with

$$\boxed{p(X) = 1}, \quad p(\bar{X}) > 1.$$

$$\text{Pic}(\bar{X}) = \mathbb{Z}^p \hookrightarrow G$$

2-dim analog del Pezzo surfaces

$$d = 1, \dots, 9$$

3-dim case  $p(\bar{X}) > 1$

Mori - Mukai 88 families

Example  $\mathbb{P}^3 \xrightarrow{\text{Bl}_{\text{pt}}} \mathbb{P}^3$

$\bar{X}$   $G$ -Fano  $G$  finite group  
 $G \hookrightarrow \text{Pic}(X) \cong \mathbb{Z}^k$

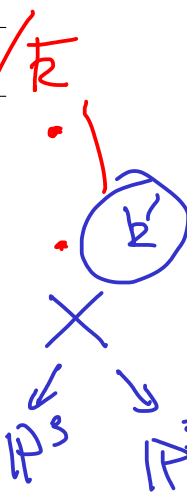
# G-Fano 3-folds with $\rho > 1$ over $\mathbb{k} = \bar{\mathbb{k}}$ [P- 2013]

## G-del Pezzo 3-folds with $\rho > 1$

$\rho(X)$	$H^3$	$X$	$h^{1,2}$	Rat?	$\mathbb{k}$
3	6	$\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$	0	+	$\mathbb{k}$
2	6	$W_6 \subset \mathbb{P}^2 \times \mathbb{P}^2$ , divisor of bidegree (1, 1)	0	+	$\mathbb{k}$

## G-Fano 3-folds with $\iota(X) = 1$ and $\rho > 1$

$\rho(X)$	$g(X)$	$X$	$h^{1,2}$	Rat?	$\mathbb{k}$
2	7	(a) $X \subset \mathbb{P}^2 \times \mathbb{P}^2$ , divisor of bidegree (2, 2) b) $X \xrightarrow{2:1} W_6$ , branch divisor $\in  -K_{W_6} $	9	-	$\mathbb{k}$
3	7	$X \xrightarrow{2:1} \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$ , branch divisor $\in  -K_{\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1} $	8	-	$\mathbb{k}$
2	11	$D_1 \cap D_2 \cap D_3 \subset \mathbb{P}^3 \times \mathbb{P}^3$ , $D_i$ is of bidegree (1, 1)	3	+	$\mathbb{k}$
4	13	$X_{(1,1,1,1)} \subset \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$	1	+	$\mathbb{k}$
2	15	blowup of $Q \subset \mathbb{P}^4$ along a twisted quartic curve	0	+	$\mathbb{k}$
3	16	$D_{(0,1,1)} \cap D_{(1,0,1)} \cap D_{(1,1,0)} \subset \mathbb{P}^2 \times \mathbb{P}^2 \times \mathbb{P}^2$	0	+	$\mathbb{k}$



Assumption

$X \setminus (b) \neq \emptyset$ .

$x \in X$       $\mathbb{k}$ -point.

# Sarkisov links

**Theorem 1** Let  $X = X_6$  be a del Pezzo 3-fold with  $\rho(X) = 1$  and  $\rho(X_{\bar{\mathbb{k}}}) > 1$ . Suppose that  $X$  has a  $\mathbb{k}$ -point  $x$ . Then there exists the following Sarkisov link

$$\begin{array}{ccccc}
 & \tilde{X} & \overset{\text{flop}}{\dashrightarrow} & \tilde{X}^+ & \\
 \swarrow \sigma & & & & \searrow \varphi \\
 X & & X_0 & & X^+
 \end{array}
 \quad \leftarrow \text{has a } \mathbb{k}\text{-point}$$

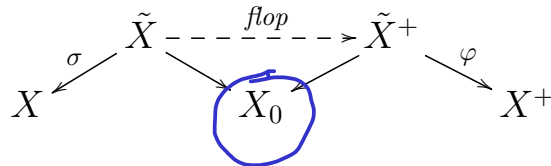
where  $\sigma$  is the blowup of  $x$  and  $\varphi$  is an extremal Mori contraction. Moreover:

- $X_{\bar{\mathbb{k}}} \simeq \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1 \implies X^+ \simeq \mathbb{P}^3$  and  $\varphi$  is the blowup of three conjugate points.
- $X_{\bar{\mathbb{k}}} \simeq W_6 \implies X^+ = X_2^+ \subset \mathbb{P}^3$  is a quadric and  $\varphi$  is a  $\mathbb{P}^1$ -bundle.

In particular,  $X$  is  $\mathbb{k}$ -rational.



**Theorem 2** Let  $X = X_{2g-2} \subset \mathbb{P}^{g+1}$  be a Fano 3-fold with  $\text{Pic}(X) = \mathbb{Z} \cdot K_X$  and  $\rho(X_{\bar{\mathbb{k}}}) > 1$ . Suppose that  $X$  has a  $\mathbb{k}$ -point  $x$  which does not lie on a line. Then there exists the following Sarkisov link



where  $\sigma$  is the blowup of  $x$  and  $\varphi$  is an extremal Mori contraction. Moreover:

- $g = 16 \implies X_{\bar{\mathbb{k}}}^+ \simeq \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$  and  $\varphi$  is the blowup of a smooth rational curve of degree 6. In particular,  $X$  is  $\mathbb{k}$ -rational.  $\rho(\tilde{X}^+) = 1$ .
- $g = 15 \implies X^+ = X_5^+ \subset \mathbb{P}^6$  is a smooth del Pezzo 3-fold of degree 5 and  $\varphi$  is the blowup of a disjoint union of two conics. In particular,  $X$  is  $\mathbb{k}$ -rational.
- ? •  $g = 13 \implies X^+ = X_3^+ \subset \mathbb{P}^4$  is a 4-nodal cubic 3-fold and  $\varphi$  is the blowup of singular points.
- ? •  $g = 11 \implies X^+ \simeq \mathbb{P}^2$  and  $\varphi$  is a conic bundle with discriminant curve of degree 4.

$\implies$  Corollary  $X$   $\mathbb{k}$ -unirational!

$$g=11$$

$$X \xrightarrow{\text{bir}} X^+ = Y$$

$$p(X) = 2 \quad k/k \quad f: Y \rightarrow \mathbb{P}^2 \supset \Delta$$

$$p(\bar{Y}) = 3$$

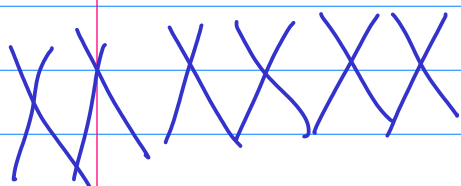
Standard conic bundle

over  $\bar{k}$  not minimal.

$\Delta$  discriminant

$f^{-1}(\Delta)$

splits over  $\bar{k}$



$\tilde{\Delta}$  étale

$\Delta$  smooth

Th (Benoist, Wittenberg)

$X/S$

$k$ -minimal conic bundle

Stat surface

$\Delta$  smooth connected

(a)  $\tilde{\Delta}/\Delta$  splits over  $k'/k$

(b)  $X/S$  admits quadratic extension  
rat. section over  $k'/k$

(c)  $\Delta$  non-hyperelliptic

$\implies X$  is not  $k$ -rational

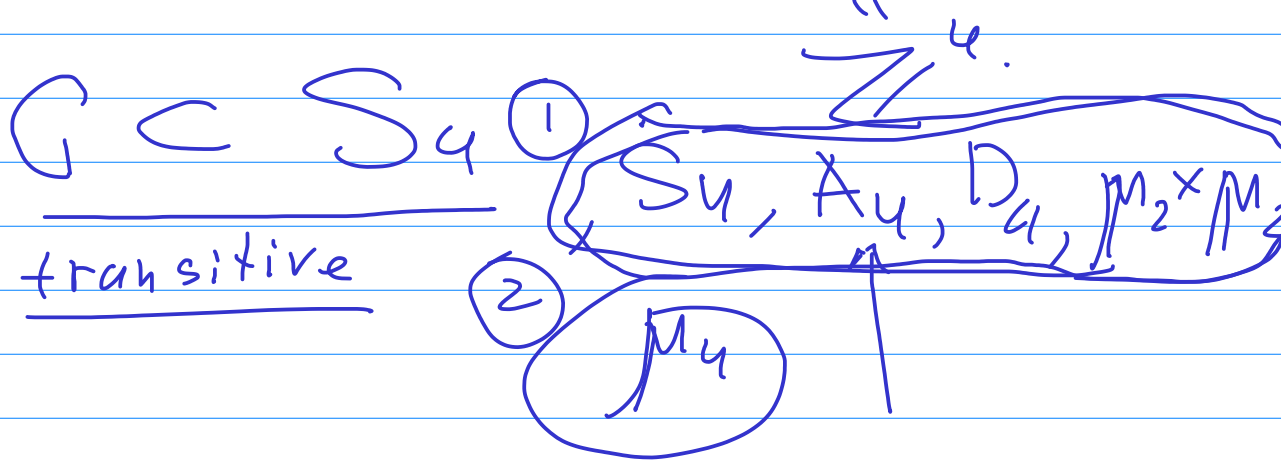
Corollary.  $X$  not rational.  
 $g=11$

$g=13$   $X \subset \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$   
 $(1,1,1,1)$

$X \rightarrow \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$   $h^{1,2}(X)=1$

blowup of ell. curve  
 $X$   $k$ -unirational.

$G = \text{image of } \text{Gal}(\bar{k}/k)$   
in  $\text{Aut}(\text{Pic}(\bar{X}))$



(1)  $G = M_2 \times M_2$   $G \supset M_2 \times M_2$

# Degeneration techniques

(Shinder, Nicuise)

Stably rationality "open" conditions

$X \xrightarrow{\text{dogen.}} X'$  not stably rat.  $\Rightarrow$

—|—|—  
very general member

$X' = \{x_0 y_0 t_0 z_0 = x_1 y_1 t_1 z_1\}$

$\subset \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$

toric, singular, O.D.P.'s

$R_{k'/k} G_m$   
Weil ext.

$k'/k$  degree 4 extension

$\rightarrow (N: R_{k'/k} G_m^A \rightarrow G_m)$

$R_{k'/k}^{(1)} G_m \subset X'$   
open.

Known to be non-stably

$H^1(G, \text{Pic}(X)) \xrightarrow{\text{rat.}} \text{smooth model.}$